

**Substance Abuse and Crime Prevention Act (SACPA)
Substance Abuse Offender Treatment Program (OTP)
FY 2009-10 SACPA County Plan and OTP Application**

SUBSTANCE ABUSE AND CRIME PREVENTION ACT

SACPA Plan: Narrative

- 1) Provide the name and contact information of the SACPA County Lead Agency.

SACPA Contact: Gino Giannavola
 Agency: Sonoma County DHS/Alcohol and other Drug Services
Division
 Address: 1221 Farmers Lane, Santa Rosa, CA 95405
 Phone Number: (707) 565-6945
 Contact email: ggiannav@sonoma-county.org

- 2) Is the person identified above the county contact for follow up questions pertaining to the submitted plan?

Yes No (If no, provide the appropriate name and contact information below.)

Plan Contact Name: Maureen Donaghue
 Agency: Sonoma County DHS/Alcohol and Other Drug Services
Division
 Address: 1221 Farmers lane, Santa Rosa, CA 95405
 Phone Number: (707) 565-6963
 Contact email: mdonaghu@sonoma-county.org

- 3) Provide the names and contact information for **all** individuals other than the lead administrator who should receive department correspondence pertaining to SACPA.

Name	Email Address	Phone Number
Derrick West	dwest@sonoma-county.org	(707) 565-6947
Debbie Pope	dpope@sonoma-county.org	(707) 565-5480

- 4) Does the county have outstanding audit disallowances that need to be paid back to ADP?

Yes No (If no, move to question #5.)

If yes, has the county already made arrangements with ADP to repay the audit disallowance?

Yes No (If no, the Board of Supervisors' approval needs to include a repayment plan.)

- 5) Is the county Board of Supervisors' approval, or are written Board of Supervisors' delegation (by written resolution, delegation approval authority or order) attached? (If county is applying for OTP funds, include language indicating Board approval for required county matching funds and use of OTP funds.)

Yes No

If no, when will it be available? **June 24, 2009**

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6) Check the entities who assisted in FY 2009-10 SACPA plan development.

- | | | | |
|-------------------------------------|----------------------|-------------------------------------|---|
| <input checked="" type="checkbox"/> | Probation Department | <input checked="" type="checkbox"/> | Treatment Providers |
| <input type="checkbox"/> | Parole | <input type="checkbox"/> | County Mental Health |
| <input checked="" type="checkbox"/> | Court | <input checked="" type="checkbox"/> | Native Americans |
| <input checked="" type="checkbox"/> | District Attorney | <input type="checkbox"/> | P-36 Alumni Groups |
| <input checked="" type="checkbox"/> | Sheriff Department | <input checked="" type="checkbox"/> | Other <input checked="" type="checkbox"/> Public Defender |

7) Describe how the county fosters collaboration between treatment and criminal justice entities and what collaborative efforts are being included for FY 2009-10.

Since 2000 the Sonoma County SACPA Steering Committee has been comprised of representation from Probation, the Courts, the District Attorney, and the Public Defender. The Steering Committee meets monthly. In addition, the Steering Committee holds quarterly public meetings that are attended by the Treatment Providers, including Native American services representatives. This structure has allowed collaborative processes to flourish, and these same structures will be in place for FY 09-10.

8) What treatment options are available to SACPA clients regardless of the funding source?

- | | | | |
|-------------------------------------|--------------------------------|-------------------------------------|---------------------------------|
| <input checked="" type="checkbox"/> | Residential Treatment | <input checked="" type="checkbox"/> | Outpatient |
| <input checked="" type="checkbox"/> | Detoxification | <input checked="" type="checkbox"/> | Family Counseling |
| <input checked="" type="checkbox"/> | Narcotic Replacement Therapy | <input type="checkbox"/> | Vocational Training |
| <input checked="" type="checkbox"/> | Sober Living Environments | <input type="checkbox"/> | Literacy Training |
| <input checked="" type="checkbox"/> | Co-Occurring Disorder Services | <input type="checkbox"/> | Other: <input type="checkbox"/> |
| <input checked="" type="checkbox"/> | Intensive Outpatient | <input type="checkbox"/> | Other: <input type="checkbox"/> |

9) What is the average length of treatment and aftercare available to SACPA clients?

- | | | |
|-------------------------------------|--|------------------------------------|
| <input checked="" type="checkbox"/> | Residential Treatment: 100 days | Comments: <input type="checkbox"/> |
| <input checked="" type="checkbox"/> | Non-Residential Treatment: 90-180 days | Comments: <input type="checkbox"/> |
| <input checked="" type="checkbox"/> | Aftercare: : 90 days | Comments: <input type="checkbox"/> |

10) Are there any barriers to clients, assessed as needing Mental Health Services, to obtaining treatment?

Sonoma County implements outpatient co-occurring disorder treatment groups for persons who are not seriously mentally ill (SMI), and are SACPA-OTP clients. These clients are assessed by an on-site psychiatrist, and SMI clients are referred to the DHS Mental Health Division for services. However, as with all client populations, addiction treatment on demand is not available because there are not enough slots to accommodate client need.

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11) What reductions in services have occurred from FY 2008-09 to FY 2009-10 based on budget cuts and reductions in available carryover funds?

Client Reductions-**Quantify* by indicating how many *clients* will be affected as a result of the reductions in the following areas.

Residential Treatment*	Narcotic Replacement Therapy*	Outpatient Treatment*	Intensive Outpatient Treatment*	SLE and Transitional Housing*	Ancillary/Other Services*
7	0	0	0	2	0

Staff Reductions-***Quantify* by indicating how many *staff* (FTE's) will be eliminated as a result of the reductions in the following areas.

Criminal Justice Supervision**	Direct Treatment Personnel**
0	0

12) Does the county utilize wait lists?

Yes No

If yes, what does the county do to keep clients engaged while waiting to access treatment?

Pre-treatment groups meet weekly for those on SACPA waiting list. Topic areas include basic addiction education and life skills.

13) How does the county collect the following SRIS data figures for SACPA clients?

Referrals: This data is collected in DATIS: court referrals into SACPA are entered into the system as they occur, by SACPA staff, then collected quarterly and reported via SRIS.

Assessments: After entry into DATIS by SACPA staff, the number of assessments is collected quarterly by AODS Administrative staff and submitted via SRIS.

Placements: After entry into DATIS by SACPA staff, placement information is also collected quarterly by AODS Administrative staff and submitted via SRIS.

14) How does the county assure that data entered into CalOMS and SRIS is accurate? Sonoma County currently submits CalOMS data via the primary data collection application, Sonoma Web Infrastructure for Treatment Services (SWITS) and SRIS data is collected through DATIS (see above). Referral and assessment data via SRIS are reviewed monthly by the SACPA Steering Committee, for accuracy and general trend information. Also, SACPA staff monitor data input daily, and referral assessment data is compared to SWITS entries for consistency. CalOMS information is submitted via treatment providers, and verified monthly. Providers are given a weekly client report for verification purposes, and completion of this information is directly linked to payment for services. Also, rejected CalOMS records are reviewed by AODS Administrative staff, and rectified where possible. Are data collection process improvements planned for FY 2009-10? Yes! The Division is finalizing enhancements to the SWITS application, which will allow the 'retirement' of the DATIS system. Utilization of a single point data collection application should significantly increase efficiency and accuracy of reporting.

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- 15) Does the county use incentives to keep SACPA clients engaged in their treatment programs?

Yes No

If yes, please describe?

If a client has demonstrated compliance with treatment and court requirements, that person is awarded a candy bar by the SACPA Court judge, and commended on their progress.

- 16) Please share any promising practices the county has employed that have contributed to the success of your SACPA program.

Sonoma County SACPA Case Management and Treatment Providers have been engaged in a Network for the Improvement of Addiction Treatment (NIATx) Learning Collaborative since September, 2008. The best practices suggested by this national model include those related to client retention and improved access to treatment. Contracts with Treatment Providers include an agreement to utilize NIATx and participate in the monthly Learning Collaborative. Sonoma County is also a part of the newly formed San Francisco Bay Area NIATx Learning Collaborative.

Also, since 2006, Sonoma County has implemented 'in-court intake' procedures. These procedures are available for clients in or out of custody. Clients receive an on-site interview with the SACPA Intake Interviewer using the American Society of Addiction Medicine (ASAM) assessment tool. Referral recommendations are formulated, and client agreement obtained. Wait-list clients are assigned a pre-treatment group and instructed to attend daily 12-step meetings; clients not on a waiting list are placed in treatment within 72 hours of sentencing.

SACPA Plan: SACPA Reporting Information System (SRIS)

- 1) Are the SRIS Entity, Service/Activity and Client Projections data sections complete? (required)

Yes No

(If no, see instructions for assistance in uploading necessary information.)

OFFENDER TREATMENT PROGRAM

OTP Application: Narrative

- 1) Is the county applying for Offender Treatment Program funds?

Yes No

If yes, continue completing the remaining questions and budget sheet. If no, complete the decline of funds form Attachment B.

- 2) What is the county's process to transition unsuccessful SACPA clients into drug courts as opposed to placing them back in custody?

The judicial oversight for SACPA and Drug Courts is the same in Sonoma County. The same Judge presides over both courts. When a client fails the SACPA program and is determined to be appropriate for Drug Court, a same day referral to Drug Court occurs.

Clients are then expected to follow Drug Court participant protocols, including preliminary interview questions by the Judge, official Drug Court assessment and sentencing into the

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program and referral to treatment. Placement into treatment typically occurs within two working days.

3) What goals will the county achieve by utilizing OTP funds? Marked boxes should reflect costs outlined on the OTP budget sheet. Include strategies for how the county plans to achieve the identified goal indicated. Examples of strategies are listed on the instruction sheet. Not all goals need to be met.

- Enhance treatment services.
How? After following the in-court intake processes in #16 above, clients with co-occurring mental health disorders are referred to Intensive Outpatient groups, which are held twice weekly and are tracked via the Division data collection system. As described more completely in #4 below, these clients may access funds to subsidize appropriate medications.
- Increase proportion of offenders who enter, remain in, and complete treatment.
How? [REDACTED]
- Reduce delays in the availability of appropriate services.
How?
Sonoma County SACPA Case Management and Treatment Providers have been engaged in a Network for the Improvement of Addiction Treatment (NIATx) Learning Collaborative since September, 2008. The best practices suggested by this national model include those related to client retention and improved access to treatment.
Also, since 2006, Sonoma County has implemented 'in-court intake' procedures. These procedures are available for clients in or out of custody. Clients receive an on-site interview with the OTP Intake Interviewer using the American Society of Addiction Medicine (ASAM) assessment tool. Referral recommendations are formulated, and client agreement obtained. Wait-list clients are assigned a pre-treatment group and instructed to attend daily 12-step meetings; clients not on a waiting list are placed in treatment within 72 hours of sentencing.
- Employ a drug court model, including dedicated court calendars with regularly scheduled reviews of treatment progress, and strong collaboration by the courts, probation, and treatment.
How? Please see # 2 above.
- Develop treatment services that are needed but not available.
How? [REDACTED]
- Other activities, approaches, and services.
Identify goal: [REDACTED]
How? [REDACTED]

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- 4) Is the county utilizing up to 5% of their annual OTP allocation for mental health services for SACPA eligible offenders with co-occurring disorders?

Yes No

If yes, please describe how the funds are being utilized.

Sonoma County has been implementing a pilot project since September 2008 that provides access to medications for clients with co-occurring disorders. The goal is to assist in stabilizing these clients so that they can more effectively engage in treatment.

OTP: Budget Sheet

Proceed to following page to complete OTP budget sheet.

FY 2009-10 OTP Budget Form

County: Sonoma Allocation Amount: \$235,705 County Match: \$26,189

SECTION I. TREATMENT RELATED COSTS		
<i>Treatment or Enhancing Treatment Services</i>	<i>OTP Funds</i>	<i>County Match</i>
Detoxification Services	0	0
Outpatient Services	12,039	1,340
Narcotic Replacement Therapy	0	0
Residential Treatment	53,047	8,289
Sober Living Environment	0	0
Vocational Training	0	0
Literacy Training	0	0
Family Counseling	0	0
Other	0	0
<i>Reduce Treatment Delays</i>	12,735	1,205
<i>Increase Placement into Treatment</i>	12,733	1,204
<i>Mental Health Services (up to 5% of Allocation)</i>	3,000	0
<i>Other Activities, Approaches or Services</i>	0	0
SECTION II. CRIMINAL JUSTICE/OTHER COSTS		
<i>Enhanced Supervision</i>	142,151	14,151
<i>Support Dedicated Drug Court</i>	0	0
<i>Other</i>	0	0
Total of Sections I & II	235,705	26,189
Total Amount Declined (if full allocation amount is not utilized)	0	
SECTION III. CERTIFICATION		
SACPA Lead Agency Designee's certifies that all projected expenditures stated above are consistent with the requirements of the Substance Abuse Offender Treatment Program.		
GINO GIANNAVOLA Please Print Name	County General Fund Required: Source of County Matching Funds	
_____	5/14/09 _____	
SACPA Lead Agency Designee Signature	Date of Signature	